

12-10-01

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PTO/SB/05 (2/98)

A

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for nonprovisional applications under 37 CFR § 1.53(b))

Attorney Docket No.	WAVE1110-1
First Inventor or Application Identifier	Gary Cole
Title	System and Method for Managing Information Objects
Express Mail Label No.	EL925165212US

12/06/01

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. <input checked="" type="checkbox"/> Fee Transmittal for FY 2002 (Submit an original and a duplicate for fee processing)	6. <input type="checkbox"/> Microfiche Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Specification [Total Pages] 23	7. <input type="checkbox"/> Nucleotide and Amino Acid Sequence Submission (if applicable, all necessary)
x - Descriptive Title of the Invention	
x - Cross References to Related Applications	
x - Statement Regarding Fed sponsored R & D	
x - Description of Related Art	
x - Field of the Invention	
x - Summary of the Invention	
x - Brief Description of the Drawings (if filed)	
x - Detailed Description	
x - Claim(s)	
x - Abstract of the Disclosure	
3. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets] 4	8. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
4. <input checked="" type="checkbox"/> Oath or Declaration (unexecuted) [Total Pages] 2	9. <input type="checkbox"/> 37 CFR 3.73(b) Statement (when there is an assignee) <input checked="" type="checkbox"/> Power of Attorney (unexecuted)
a. <input type="checkbox"/> Newly executed (original or copy)	
b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed)	
i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)	
5. <input type="checkbox"/> Incorporation By Reference (useable if box 4b is checked). The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered to be part of the disclosure of the accompanying application and is hereby incorporated by reference therein.	
10. <input type="checkbox"/> English Translation Document (if applicable)	
11. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations	
12. <input type="checkbox"/> Preliminary Amendment	
13. <input checked="" type="checkbox"/> Return Receipt Postcard	
14. <input checked="" type="checkbox"/> Small Entity <input type="checkbox"/> Statement filed in prior application, Status still proper and desired	
15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)	
16. <input checked="" type="checkbox"/> Other: <u>Certificate of Express Mail; \$487.00 Check;</u>	

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment

Continuation Divisional Continuation-In-Part (CIP) of prior Application No. _____

Prior application information: Examiner _____ Group / Art Unit _____

Claims the benefit of Provisional Application No. 60/251,627 filed on 12/6/00 and 60/251,952 filed on 12/7/00

CORRESPONDENCE ADDRESS:

Customer Number Label:

Name: John L. Adair

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25094

PATENT TRADEMARK OFFICE

TYPED or PRINTED NAME John L. AdairREGISTRATION NO. 48,828SIGNATURE John L. AdairDate 12/10/01

FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$487.00)

Complete if Known	
Application Number	Unknown
Filing Date	December 6, 2001
First Named Inventor	Gary Cole
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	WAVE1110-1

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **50-0456**
Deposit Account Name **Gray Cary Ware & Freidenrich LLP**

Charge Any additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status See 37 CFR 1.27

2. Payment Enclosed:

Check Credit card Money Order Other

FEE CALCULATION

BASIC FILING FEE

Large Entity	Small Entity					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
101	740	201	370	Utility filing fee	370.00	
106	330	206	165	Design filing fee		
107	510	207	255	Plant filing fee		
108	740	208	370	Reissue filing fee		
114	160	214	80	Provisional filing fee		

SUBTOTAL (1) (\$370.00)

2. **EXTRA CLAIM FEES**

		Extra Claims	Fee from below	Fee Paid
Total Claims	33	-20** =	13 X 9 =	117.00
Independent Claims	3	-3** =	0 X 42 =	0.00
Multiple Dependent		X 140 =		0

Large Entity Small Entity

Large Entity	Small Entity					
Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid	
103	18	203	9	Claims in excess of 20		
102	84	202	42	Independent claims in excess of 3		
104	280	204	140	Multiple dependent claim, if not paid		
109	80	209	42	** Reissue independent claims over original patent		
110	18	210	9	** Reissue claims in excess of 20 and over original patent		

SUBTOTAL (2) (\$117.00)

**or number previously paid, if greater; For Reissues, see above

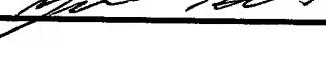
3. ADDITIONAL FEES

Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive – unavoidable	
141	1,280	241	640	Petition to revive – unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$0.00)**

SUBMITTED BY

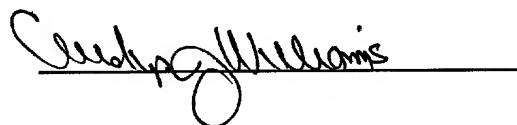
		Complete (if applicable)		
Name (Print/Type)	John L. Adair	Registration No. (Attorney/Agent)	48,828	Telephone 512-457-7142
Signature			Date	12/16/01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE	
CERTIFICATE OF MAILING BY "EXPRESS MAIL"	
	Atty Docket No. (Optional) WAVE1110-1
Attn: Box Patent Application Hon. Commissioner for Patents Washington, D.C. 20231	In the Application of:
	Gary Cole
	Date Filed:
	December 6, 2001
Title: System and Method for Managing Information Objects	

Sir:

I hereby certify that the attached Utility Patent Application Transmittal, Fee Transmittal, Utility Patent Application, Check in the amount of \$487.00 to cover the patent application filing fee, Unexecuted Declaration and Power of Attorney, Informal Drawings, and postcard are being deposited with the United States Postal Service "EXPRESS MAIL Post Office to Addressee" service under 37 C.F.R. § 1.10, Mailing Label Certificate No. EL925165212US on December 6, 2001 addressed to, Box Patent Applications, Assistant Commissioner for Trademarks, P.O. Box 2327, Arlington, VA 22202-3513.¹

Respectfully submitted,
Gray Cary Ware & Freidenrich LLP



¹ Pursuant to the instructions from the U.S. Patent Office on November 20, 2001, all Patent Office mail is to be directed to the attention of the U.S. Trademark Office in Virginia until further notice.